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PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/687.288 TRANSMITTAL Filing Date October 13, 2000 **FORM** First Named Inventor William S. Holmes Art Unit 2136 (to be used for all correspondence after initial filing) **Examiner Name** Mashaal, Ali M. Attorney Docket Number 101013.53385US Total Number of Pages in This Submission 17 **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Amendment and Response to Office Action; Request for Refund Express Abandonment Request Check No. 6064 for \$55.00 CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) RECEIVED Response to Missing Parts/ Incomplete Application MAY 14 2004 Response to Missing Parts under 37 CFR 1.52 or 1.53 **Technology Center 2100** SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Jonathan M. Lindsay, Reg. No. 45,810 Individual name Signature Date May 7, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Angela Williams May 7, 2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03) Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known EE TRANSMITTAL **Application Number** 09/687,288 2000 Filing Date October 13, for FY 2004 First Named Inventor William S. Holmes Effective 10/01/2003. Patent fees are subject to annual revision. **Examiner Name** Mashaal, Ali M. Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2136 TOTAL AMOUNT OF PAYMENT (\$) 55.00101013.53385US Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Money 3. ADDITIONAL FEES X Check Credit card Other None Large Entity 1 Small Entity X Deposit Account: Fee Fee Fee Description Deposit Code Code (\$) <u>Fee Paid</u> 05-1323 Account 2051 1051 130 65 Surcharge - late filing fee or oath Number Deposit Surcharge - late provisional filing fee or 1052 50 2052 25 Account cover sheet Name 1053 130 1053 130 Non-English specification The Director is authorized to: (check all that apply) 1812 2.520 For filing a request for ex parte reexamination 1812 2,520 X Credit any overpayments Charge fee(s) indicated below 1804 920 1804 920* Requesting publication of SIR prior to $\overline{\mathbf{X}}$ Charge any additional fee(s) or any underpayment of fee(s) Examiner action Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR after Examiner action 1805 1.840 1805 1.840* to the above-identified deposit account. \$55.00 2251 Extension for reply within first month 1251 110 **FEE CALCULATION** Extension for reply within second month 420 2252 1252 210 1. BASIC FILING FEE 1253 950 2253 475 Extension for reply within third month arge Entity Small Entity Fee Description Fee Paid 1254 1,480 2254 740 Extension for reply within fourth month Code (\$) 1,005 Extension for reply within fifth month 2,010 2255 1255 2001 385 Utility filing fee 1001 770 1401 330 2401 165 Notice of Appeal 1002 340 2002 170 Design filing fee 2402 165 Filing a brief in support of an appeal 1402 330 1003 530 2003 265 Plant filing fee 1403 290 2403 145 Request for oral hearing 1004 770 2004 385 Reissue filing fee 1451 1,510 1451 1.510 Petition to institute a public use proceeding Provisional filing fee 1005 160 2005 80 1452 110 2452 55 Petition to revive - unavoidable SUBTOTAL (1) (\$) 1453 1;330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1.330 2501 665 Utility issue fee (or reissue) Fee from Extra Claims Fee Paid 1502 480 2502 240 Design issue fee below **Total Claims** -201 1503 640 2503 320 Plant issue fee Independent 1460 130 1460 130 Petitions to the Commissioner Claims Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 180 1806 180 Submission of Information Disclosure Stmt 1806 Fee Fee Fee Description 40 Recording each patent assignment per Fee Code (\$) Code (\$) 8021 40 8021 property (times number of properties) 1202 Claims in excess of 20 18 2202 9 385 Filing a submission after final rejection 1809 770 2809 1201 86 2201 43 Independent claims in excess of 3 (37 ČFR 1.129(a))

SUBTOTAL (2) **or number previously paid, if greater; For Reissues, see above			Other fee (specify) *Reduced by Basic Fili	ng Fee Paid	SUBTOTAL	(3) (\$) 55.00
SUBMITTED BY				(Complete (if applicable))		
Name (Print/Type)	Jonathan M. Lin	nølsay,	Registration No. (Attorney/Agent)	45,810	Telephone	(949) 263-8400
Signature	(/ mal max >	intent			Date	May 7, 2004

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385 For each additional invention to be

examined (37 CFR 1.129(b))

900 Request for expedited examination

of a design application

Request for Continued Examination (RCE)

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Multiple dependent claim, if not paid

Reissue independent claims

** Reissue claims in excess of 20

and over original patent

over original patent